

04-07 04

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



## TRANSMITTAL FORM

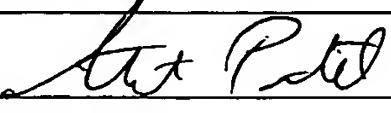
(to be used for all correspondence after initial filing)

|  |  |                        |                  |
|--|--|------------------------|------------------|
|  |  | Application Number     | 10/687,498       |
|  |  | Filing Date            | October 15, 2003 |
|  |  | First Named Inventor   | Wang et al.      |
|  |  | Art Unit               | unassigned       |
|  |  | Examiner Name          | unassigned       |
| Total Number of Pages in This Submission |  | Attorney Docket Number | 06401.00418      |

### ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><div style="border: 1px solid black; padding: 5px; margin-top: 5px;">PTO/SB/08A form, 26 references, International Srch Report, Exp MI. # EL941344190US, Return Receipt Post card</div> |
| Remarks  |   |  |
| Please charge any additional fees or credit overpayment to Deposit Account No. 19-0733. A duplicate copy of this sheet is enclosed.  |   |  |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |  |
|-------------------------|---|--|
| Firm or Individual name | Banner & Witcoff, Ltd.<br>Aseet Patel (Reg. No. 53,874)                             |  |
| Signature               |  |  |
| Date                    | April 6, 2004   |  |

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

|                       |       |
|-----------------------|-------|
| Typed or printed name | _____ |
| Signature             | _____ |
|                       | Date  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Express Mail No. 941344190US

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Attorney Docket No. 06401.00418)

In re Application of:

Wang et al.

Serial No.: 10/687,498

Filed: October 15, 2003

For: Process Using Cold-Water Soluble Extruded  
Starch

Examiner: (unassigned)

Group Art

Unit: (unassigned)

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicants wish to make the following references of record in the above-identified application. These references were filed in the parent application (Serial No. 09/863,928). Copies of the references cited below are enclosed along with a completed PTO/SB/08A form. References (1, 2, 3, 9, 14, 21, 22, 23) were cited in a Search Report, dated March 22, 2004. A copy of the Search Report is enclosed herein.

Applicants respectfully request the Examiner initial each item listed on the enclosed PTO/SB08/A form so that all of the information listed therein is printed on the patent issuing on the present application.

The present Disclosure Statement is being submitted in compliance with 37 C.F.R. 1.56, but the citation of such documents is not to be construed as an admission that such documents are necessarily relevant or prior art. No representation is intended that the cited documents represent the results of a complete search, and it is anticipated that the Examiner will make an independent search and will review the documents cited on the attached form even if not initialed.

The accompanying Information Disclosure Statement is being filed within three months of the U.S. filing date or before the mailing date of a first Office Action on the merits. No certification or fee is required.

Early and favorable consideration is earnestly solicited.

Respectfully submitted,  
BANNER & WITCOFF, LTD.

Dated: April 6, 2004

By:

  
Aseet Patel  
Reg. No. 53,874

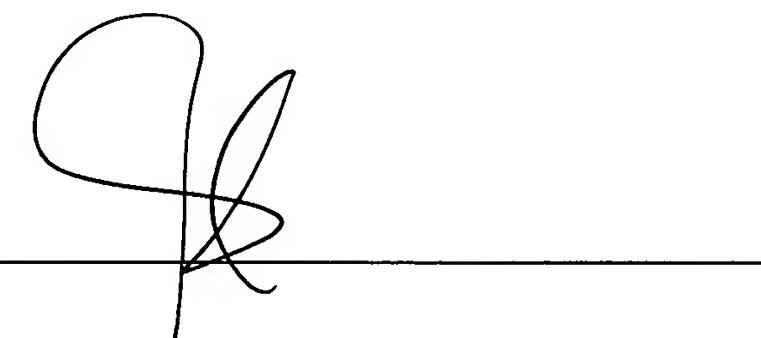


**CERTIFICATE OF MAILING  
(PATENT)**

**Express Mail No. EL941344190US**

**Deposited April 6, 2004**

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 

Patent Application of: Wang et al.

Title: Process Using Cold-Water Soluble Extruded Starch

**Serial No. 10/687,498**

- Transmittal (in duplicate)
- Information Disclosure Statement
- 26 references
- International Search Report
- Return Receipt Postcard

**Attorney Docket No.: 06401.00418**

Banner & Witcoff, Ltd.  
Ten S. Wacker Drive, Suite 3000  
Chicago, Illinois 60606  
Telephone: (312) 463-5000



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO &amp; TRADEMARK

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1 of 2

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 10/687,498       |
| Filing Date          | October 15, 2003 |
| First Named Inventor | Wang et al.      |
| Art Unit             | (unassigned)     |
| Examiner Name        | (unassigned)     |

Attorney Docket Number 06401.00418

## U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or Applicant of<br>Cited Document | Pages, Columns, Lines, Where Relevant<br>Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|--|--------------------------------|--|---|
|                    |                       | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
| 1                  | 3,427,951             |  | 02-18-69                       | F.J. Mitan et al.                                  |   |
| 2                  | 3,904,429             |  | 09-09-75                       | Eastman et al.                                     |   |
| 3                  | 4,623,549             |  | 11-18-86                       | Katt et al.  |   |
| 4                  | 5,849,233             |  | 12-15-98                       | Altieri et al.                                     |   |
| 5                  | 6,001,408             |  | 12-14-99                       | Dudacek et al.                                     |   |
| 6                  | 6,200,623             |  | 03-13-01                       | Dudacek et al.                                     |   |
| 7                  | 6,218,321             |  | 04-17-01                       | Lorcks et al.                                      |   |
| 8                  | 6,247,394             |  | 06-19-01                       | Huber et al.                                       |   |
|                    | US-                   |  |                                |  |   |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|--------------------|-----------------------|---|--------------------------------|---|--|----------------|
|                    |                       | Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |   |  |                |
| 9                  | FR 1,175,962          |   | 04-03-59                       | O J Meijer S. Fabrieken                               |  |                |
| 10                 | JP 51 138772          |   | 11-30-76                       | Kuraray Co. Ltd.                                      |  |                |
| 11                 | GB 1 466 019          |   | 03-02-77                       | Nat'l. Starch and Chemical Corp.                      |  |                |
| 12                 | CA 1 040 920          |   | 10-24-78                       | Nat'l. Starch and Chemical Corp.                      |  |                |
| 13                 | JP 55 96064           |   | 07-21-80                       | Ajinomoto KK  |  |                |
| 14                 | EP 0 313 298          |   | 04-26-89                       | Gen Foods Corps.                                      |  |                |
| 15                 | JP 01 287101          |   | 11-17-89                       | Nippon Shokuhin Kako KK                               |  |                |
| 16                 | EP 0374993            |   | 06-27-90                       | Amylum NV   |  |                |
| 17                 | CA 1 286 533          |   | 07-23-91                       | Staley Mfg. Co.                                       |  |                |
| 18                 | JP 03 0191729         |   | 08-21-91                       | Daicel Chem Ind. Ltd                                  |  |                |
| 19                 | JP 07 023761          |   | 01-27-95                       | Japan Steel Works Ltd.                                |  |                |
| 20                 | EP 0 781 807          |   | 07-02-97                       | Beijing Xingchen Modern Control Engineering Institute |  |                |
| 21                 | WO 00/08945           |   | 02-24-2000                     | Wenger Mfg.   |  |                |
| 22                 | WO 00/75192           |   | 12-14-2000                     | Nat'l. Starch Chem Invest                             |  |                |
| 23                 | WO 02/074814          |   | 09-26-02                       | Nat'l. Starch chem. Invest                            |  |                |

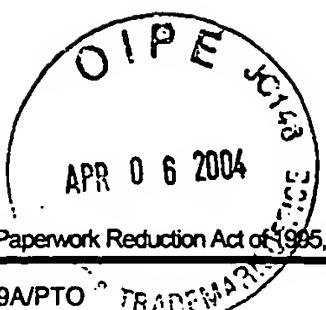
Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08b(05-03)

Approved for use through 04/30/2003. OMB 0651-0031

Approved for use through 5-2008. GPO 000-000-0000

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**Substitute for form 1449A/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

---

## Sheets

of 2

2

**Complete if Known**

|                        |                  |
|------------------------|------------------|
| Application Number     | 10/687,498       |
| Filing Date            | October 15, 2003 |
| First Named Inventor   | Wang et al.      |
| Group Art Unit         | unassigned       |
| Examiner Name          | unassigned       |
| Attorney Docket Number | 06401.00418      |

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*